

HOMOLOGICAL ALGEBRA

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ABSTRACT. These are lecture notes from my course on homological algebra at Caltech (Math 128) during the winter 2021 quarter. They are **under construction**, and will be updated at the course website at the end of each lecture.

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0. MISCELLANEA

0.1. Exercises.

0.1.1. [changed 1/12] In order to obtain a grade of 100% on the homework by the end of the quarter, you will need to earn 120 points.

0.1.2. Partial solutions may be submitted for partial credit.

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0.1.3. Solutions to exercises should always be justified (even if e.g. the exercise is stated as merely a “yes or no” question).

0.1.4. [changed 1/7] In preparing your homework, please copy down the problem statement, since it is possible that the numbering may change.

0.2. Conventions.

0.2.1. [changed 1/7] As this document is a work in progress, I will frequently want to make changes to existing material (in addition to adding material as we cover it during lecture). Of course, it would be quite difficult for a reader to spot such changes, especially as the document grows. Therefore, any substantial such changes will be temporarily flagged by their change date (for easy searching) and additionally will be colored as follows: changes that have occurred since the most recent lecture are **red**, changes made between the past two lectures are **blue**, and changes made between two and three lectures ago are **green**. Older changes are no longer colored.

0.2.2. We use standard notation without comment, e.g. \mathbb{Z} denotes the integers and \mathbf{Set} denotes the category of sets. However, notation will very often only be “local”: the meanings of various symbols will be fluid, and notation may change slightly through the document as needed.

0.2.3. We use the basic language of category theory freely. The canonical reference is [Mac71]. Many more efficient introductions are available, e.g. [Saf] or [Wei94, §A]. We mostly ignore set-theoretic issues.¹

0.2.4. The term “(commutative) ring” means “associative unital (resp. commutative) ring”. Likewise, modules are always unital (meaning that the unit element acts as the identity).

0.2.5. The term “natural number” (and the notation \mathbb{N}) sometimes will include 0 and sometimes will not. It will often be a good exercise to think through this boundary case, to see whether the given assertion holds (or even makes mathematical sense).

0.2.6. In the interest of brevity, universal quantifiers will often be dropped. For instance, an assertion involving an integer n should generally be understood to refer to *all* integers n unless otherwise specified, and formulas involving arbitrary elements (e.g. of abelian groups) should generally be understood to refer to *all* elements unless otherwise specified.

¹Or, said differently, we implicitly work with respect to a fixed Grothendieck universe.

1. SOME MOTIVATION FOR HOMOLOGICAL ALGEBRA

1.1. **Intersection theory.** A basic endeavor in geometry is to understand *intersections*. For example, given a (smooth) manifold M and two submanifolds $N_0, N_1 \subseteq M$ of complementary dimensions, a fundamental question is to compute the algebraic intersection number

$$[N_0] \cdot [N_1] \in \mathbb{Z} .$$

1.1.1. If N_0 and N_1 intersect *transversely* (i.e. $T_p N_0 + T_p N_1 = T_p M$ for all $p \in (N_0 \cap N_1)$), then this is simply the (signed) sum of their intersection points. Moreover, this is invariant under small perturbations, as long as the intersection remains transverse.

1.1.2. However, if the intersection of N_0 and N_1 is not transverse, the situation is somewhat complicated. On the one hand, there will always exist arbitrarily small perturbations of either N_0 or N_1 that make the intersection transverse, and it is a fact that the resulting intersection number will not depend on the chosen perturbation.² However, this approach has a number of (related) drawbacks.

- (1) Perturbations are noncanonical.
- (2) Perturbations will generally destroy the inherent symmetries of the situation.³
- (3) Even if one begins with algebraic varieties, the perturbations guaranteed by the genericity of transversality are generally only transcendental.⁴

A first application of homological algebra is to compute non-transverse intersections without perturbations. We will illustrate the failure of ordinary (i.e. non-homological) algebra in §1.4, after some preliminaries.

1.2. **Tensor products.** We first recall the notion of tensor product.

1.2.1. Let R be a commutative ring, and let M and N be R -modules. The (**relative**) **tensor product** of M and N over R ,⁵ denoted $M \otimes_R N$, is the universal abelian group equipped with an R -balanced bilinear function

$$M \times N \xrightarrow{\varphi} M \otimes_R N ,$$

i.e. a function satisfying the following axioms:

- (1) $\varphi(m + m', n) = \varphi(m, n) + \varphi(m', n)$ and $\varphi(m, n + n') = \varphi(m, n) + \varphi(m, n')$;

²A good introduction to these ideas is [GP74].

³For instance, perturbations to transverse intersections need not exist in the equivariant context.

⁴It turns out that it is in some sense always possible to perturb algebraic varieties that achieve transversality, however, at least when the ambient variety is sufficiently nice. This is *Chow's moving lemma*, where “perturb” means “change to a new but rationally equivalent algebraic cycle”. It is fundamental in the classical approach to intersection theory in algebraic geometry [EH16].

⁵The word “relative” here is meant to emphasize that R is an arbitrary commutative ring. By contrast, the term “absolute tensor product” would emphasize that $R = \mathbb{Z}$.

$$(2) \quad \varphi(m \cdot r, n) = \varphi(m, r \cdot n).^6$$

In other words, for any abelian group A , precomposition with φ determines a canonical isomorphism

$$\{R\text{-bilinear functions } M \times N \rightarrow A\} \xleftarrow{\cong} \{\text{abelian group homomorphisms } M \otimes_R N \rightarrow A\} .$$

In the case that R is understood (and particularly when $R = \mathbb{Z}$ or when R is a field), we may simply write $\otimes := \otimes_R$.

1.2.2. The relative tensor product $M \otimes_R N$ is defined by a universal property, which does not a priori guarantee that it exists. However, it is also easy to construct explicitly. Namely, one begins with the abelian group $M \times N$ and quotients by the following relations:

- (1) $(m + m', n) \sim (m, n) + (m', n)$ and $(m, n + n') \sim (m, n) + (m, n')$;
- (2) $(m \cdot r, n) \sim (m, r \cdot n)$.

Exercise 1.1 (2 points). For any natural numbers $m, n \in \mathbb{N}$, prove that $\mathbb{Z}/m \otimes_{\mathbb{Z}} \mathbb{Z}/n \cong \mathbb{Z}/\gcd(m, n)$.

1.3. **Basic principles of algebraic geometry.** In order to illustrate intersection theory via tensor products, we recall a few basic principles of algebraic geometry. We work over \mathbb{R} to adhere to geometric intuition, but the same ideas apply over any field. For further background, see [Har77, §1.1].

1.3.1. The polynomial functions on \mathbb{R}^n are the n -variate polynomials: $\mathcal{O}(\mathbb{R}^n) = \mathbb{R}[x_1, \dots, x_n]$. We simply write $R = \mathcal{O}(\mathbb{R}^n)$ (leaving n implicit).

1.3.2. By definition, an **algebraic subset** of \mathbb{R}^n is a closed subset $Z \subseteq \mathbb{R}^n$ that is cut out by (i.e. equal to) the vanishing of some subset $S \subseteq R$ of polynomial functions on \mathbb{R}^n .⁷ In this case we write $Z = V(S)$, and we say that Z is the **vanishing locus** of the elements of S . If $J \subseteq R$ is the ideal generated by a subset $S \subseteq R$, then $V(J) = V(S)$.⁸

1.3.3. We write $I(Z) \subseteq R$ for the ideal of those functions that vanish along Z . Then, the ring of polynomial functions on Z is

$$\mathcal{O}(Z) = R/I(Z) .$$

1.3.4. Conversely, any ideal $J \subseteq R$ has a corresponding vanishing locus

$$V(J) := \{p \in \mathbb{R}^n : f(p) = 0 \text{ for all } f \in J\} \subseteq \mathbb{R}^n .$$

⁶The notation here stems from the fact that more generally, we can define the relative tensor product when R is merely an associative ring, M is a right R -module, and N is a left R -module.

⁷By definition of the Zariski topology, these are precisely the Zariski-closed subsets of \mathbb{R}^n .

⁸Since R is noetherian, any ideal is finitely generated. In other words, we may always take S to be a *finite* set of polynomial functions on \mathbb{R}^n .

1.3.5. These constructions determine functions

$$\{\text{subsets of } \mathbb{R}^n\} \begin{array}{c} \xrightarrow{I} \\ \xleftarrow{V} \end{array} \{\text{ideals in } R\} \quad .^9$$

These are inclusion-reversing, and associate intersections of subsets with unions of ideals. In particular, given algebraic subsets $Z_0, Z_1 \subseteq \mathbb{R}^n$ and writing $I_i = I(Z_i)$, we have

$$\mathcal{O}(Z_0 \cap Z_1) \cong R/(I_0, I_1) \cong R/I_0 \otimes_R R/I_1 .$$

1.4. **Intersections via tensor products.** We now proceed to study a few basic examples of intersections via tensor products.

1.4.1. Our first example merely illustrates the above principles.

Example 1.2 (a transverse intersection). Consider the curves $y = x^2$ and $y = x$ in the plane \mathbb{R}^2 . Their intersection is the locus where $x = x^2$, or $x \cdot (x - 1) = 0$. Now, \mathbb{R} is an integral domain (in fact, it is a field), and so the equation $r \cdot s = 0$ in \mathbb{R} implies that $r = 0$ or $s = 0$. In this case, we find that the solutions are $x = 0$ and $x = 1$.

We now compute the same intersection, but using the above principles. The algebraic subsets

$$Z_0 = \{(x, y) \in \mathbb{R}^2 : y = x^2\} \subseteq \mathbb{R}^2 \quad \text{and} \quad Z_1 = \{(x, y) \in \mathbb{R}^2 : y = x\} \subseteq \mathbb{R}^2$$

respectively correspond to the ideals

$$I_0 = I(Z_0) = (y - x^2) \subseteq R \quad \text{and} \quad I_1 = I(Z_1) = (y - x) \subseteq R .$$

So, the polynomial functions on $Z_0 \cap Z_1$ are

$$\begin{aligned} \mathcal{O}(Z_0 \cap Z_1) &\cong R/I_0 \otimes_R R/I_1 \cong R/(I_0, I_1) \cong \mathbb{R}[x, y]/(y - x^2, y - x) \cong \mathbb{R}[x]/(x - x^2) \\ &= \mathbb{R}[x]/(x \cdot (1 - x)) \cong \mathbb{R}[x]/x \times \mathbb{R}[x]/(1 - x) \cong \mathbb{R} \times \mathbb{R} , \end{aligned}$$

where the second-to-last isomorphism is via the Chinese remainder theorem (note that $\mathbb{R}[x]$ is a PID, in fact it is a Euclidean domain).¹⁰ The fact that this is a 2-dimensional \mathbb{R} -algebra corresponds to the fact that $Z_0 \cap Z_1$ consists of two points.

⁹[changed 1/12] Over an algebraically closed field \mathbb{k} , this construction restricts to a bijection between *closed* subsets of \mathbb{k}^n (with respect to the Zariski topology) and *radical* ideals of $\mathbb{k}[x_1, \dots, x_n]$. The composite $V \circ I$ carries a subset $Y \subseteq \mathbb{k}^n$ to its closure $\bar{Y} \subseteq \mathbb{k}^n$, while the composite $I \circ V$ carries an ideal $I \subseteq \mathbb{k}[x_1, \dots, x_n]$ to its radical $\sqrt{I} = \{f \in \mathbb{k}[x_1, \dots, x_n] : \exists n > 0 \text{ s.t. } f^n \in I\} \subseteq R$. By contrast, over \mathbb{R} the function V fails to be injective, e.g. $V(\mathbb{R}[x]) = V(x^2 + 1) = \emptyset$.

¹⁰An explicit inverse is given by carrying the pair $(a, b) \in \mathbb{R} \times \mathbb{R}$ to the function $x \mapsto f_{a,b}(x) := a + (b - a) \cdot x$ (which has $f_{a,b}(0) = a$ and $f_{a,b}(1) = b$), considered as an element of $\mathbb{R}[x]/(x \cdot (1 - x))$. One can check directly that this is a ring homomorphism. It is clearly injective. To see that it is surjective, for any $g \in \mathbb{R}[x]$ we claim that $g - f_{g(0),g(1)}$ lies in the ideal generated by $x \cdot (x - 1)$. Observe that $g - f_{g(0),g(1)}$ vanishes at $x = 0$ and $x = 1$. So this is simply the assertion that if a polynomial vanishes at $r \in \mathbb{R}$, then we can factor out $(x - r)$. (And this can be accomplished via the Euclidean algorithm.)

1.4.2. Our second example illustrates the power of *scheme theory*, i.e. the presence of nilpotent elements.

Example 1.3 (a non-transverse intersection). Consider the ideals $I_0 = (y - x^2)$ and $I_1 = (y)$ in R . These correspond to the curves $y = x^2$ and $y = 0$. These intersect “twice” at the origin. This can be seen in differential topology by taking derivatives (in fact, it can be seen in algebraic geometry that way too). Correspondingly, we compute that

$$R/I_0 \otimes_R R/I_1 \cong R/(I_0, I_1) \cong \mathbb{R}[x, y]/(y - x^2, y) \cong \mathbb{R}[x]/(x^2) .$$

The 2-dimensionality of this \mathbb{R} -algebra again reflects the fact that the two curves $V(I_0)$ and $V(I_1)$ intersect “with multiplicity two”. Namely, this \mathbb{R} -algebra corresponds to “the origin along with infinitesimal fuzz in the direction of the x -axis”. This is in contrast with the previous example, where the tensor product split as a cartesian product.

These techniques are quite robust.

Exercise 1.4 (2 points). Consider the curves $y = x^2$ and $y = -1$ in \mathbb{R}^2 . Compute and interpret their scheme-theoretic intersection.

1.4.3. Here is the simplest example of a non-transverse intersection for which ordinary (as opposed to homological) algebra fails to give the correct answer.

Example 1.5 (another non-transverse intersection). Consider points $a, b \in \mathbb{R}^1$ as algebraic subsets. These correspond to the ideals $I_0 = (x - a) \subseteq R$ and $I_1 = (x - b) \subseteq R$. We compute the functions on their intersection to be

$$\mathcal{O}(\{a\} \cap \{b\}) \cong R/I_0 \otimes_R R/I_1 \cong \mathbb{R}[x]/(x - a, x - b) \cong \mathbb{R}/(a - b) \cong \begin{cases} \mathbb{R} , & a = b \\ 0 , & a \neq b \end{cases} .$$

Generically, two points in the line do not intersect, and in this situation (i.e. when $a \neq b$) we obtain the expected intersection number of 0. However, in the non-generic situation where $a = b$, we obtain a 1-dimensional \mathbb{R} -algebra.

Using homological algebra, namely the notion of *derived tensor products*, we will be able to obtain the expected intersection number of 0 even when $a = b$.

1.4.4. The following exercise illustrates another source of failure of the expected dimension, introducing projective space along the way.

Exercise 1.6 (6 points). Generically, two lines in \mathbb{R}^2 intersect in a point. Of course, not all pairs of lines are in general position. For instance, consider the curves $y = x$ and $y = x + 1$ in \mathbb{R}^2 .

(a) Compute (the functions on) their intersection using tensor products.

The issue here is that these lines “just barely avoid intersecting”: morally they should intersect “at infinity”.¹¹ This issue is repaired by passing to the projective plane, i.e. the quotient

$$\mathbb{RP}^2 := (\mathbb{R}^3 \setminus \{0\}) / \mathbb{R}^\times$$

by the scaling action. So, its points are specified by nonzero triples $[x : y : z]$, called *homogeneous coordinates*, which are governed by the relation that for any $\lambda \in \mathbb{R}^\times$ we have $[x : y : z] = [\lambda x : \lambda y : \lambda z]$. Moreover, there is an inclusion $\mathbb{R}^2 \hookrightarrow \mathbb{RP}^2$ given by the formula $(x, y) \mapsto [x : y : 1]$.¹²

- (b) Show that a *homogenous* polynomial $g \in \mathbb{R}[x, y, z]$ (i.e. one for which $g(\lambda p) = \lambda^d \cdot g(p)$ for some $d \in \mathbb{N}$) has a well-defined vanishing locus $\tilde{V}(g) \subseteq \mathbb{RP}^2$.
- (c) Find *homogenizations* of $f_1 = y - x$ and $f_2 = y - x - 1$, i.e. homogenous polynomials $g_1, g_2 \in \mathbb{R}[x, y, z]$ such that $g_i([x : y : 1]) = f_i(x, y)$.
- (d) Compute and interpret the intersection of the vanishing loci $\tilde{V}(g_i) \subseteq \mathbb{RP}^2$.

1.4.5. Here is a more interesting non-generic situation where derived tensor products will give the correct answer where ordinary tensor products will not.

Example 1.7. Generically, two lines in \mathbb{R}^2 intersect in a point. This fails if the lines are parallel, but as we saw in Exercise 1.6 this failure is repaired by working in \mathbb{RP}^2 (and taking the closures of the lines therein). However, this still gives the wrong answer if the two lines are *equal*: of course, the intersection of a line with itself is itself.

Using derived tensor products, we will be able to obtain the expected intersection number of 1 when intersecting a (projective) line with itself in \mathbb{RP}^2 .

1.4.6. Of course, there are also examples that are not self-intersections where derived tensor products give the correct answer where ordinary tensor products do not. For this it is necessary to work in higher dimensions, see e.g. [EH16, Example 2.6].

2. FUNDAMENTALS OF HOMOLOGICAL ALGEBRA

In this section we introduce the basic notions of homological algebra. A standard reference for this material is [Wei94, §§1-2]. However, we will take a rather different approach that emphasizes homotopy-coherence,^[changed 1/12] following [RP, §§9-13].

¹¹A better way to say this would be to consider the equations $y = x$ and $y = tx + 1$: these are surfaces in \mathbb{R}^3 , which may be considered as families of lines indexed by the parameter $t \in \mathbb{R}$. As $t \rightarrow 1^+$ their intersection point has $x \rightarrow -\infty$, while as $t \rightarrow 1^-$ their intersection point has $x \rightarrow +\infty$. This suggests that there should be a *single* point “at infinity” where they intersect in the case that $t = 1$.

¹²So, the “points at infinity” are those of the form $[x : y : 0]$. Since we disallow the possibility that $x = y = 0$, these form a copy of $\mathbb{RP}^1 := (\mathbb{R}^2 \setminus \{0\}) / \mathbb{R}^\times$. Note that each such point $[x : y : 0]$ may be uniquely identified with a slope $\frac{y}{x}$, where we declare that $\infty := \frac{y}{0}$ for $y \neq 0$ (this is the unique point in $\mathbb{RP}^1 \setminus \mathbb{R}^1$).

[changed 1/14] For concreteness, we work in the context of ordinary algebra. Namely, we fix a commutative ring \mathbb{k} and a \mathbb{k} -algebra R . For the most part, we will work in \mathbf{Mod}_R , the category of (right) R -modules, and one may take \mathbb{k} to be \mathbb{Z} . However, at times we will want to specialize to a commutative ring, and for this it is convenient for \mathbb{k} to be arbitrary.¹³ Moreover, we will study some interactions between \mathbb{k} -modules and R -modules. At the level of ordinary (i.e. non-homological) algebra, these are encapsulated by the following facts.

- (1) The category $\mathbf{Mod}_{\mathbb{k}}$ is symmetric monoidal via the tensor product, which we denote by $\otimes := \otimes_{\mathbb{k}}$; its unit object is \mathbb{k} .
- (2) The category \mathbf{Mod}_R is naturally enriched in $\mathbf{Mod}_{\mathbb{k}}$. In other words, for any R -modules $M, N \in \mathbf{Mod}_R$, the set $\mathbf{hom}_{\mathbf{Mod}_R}(M, N)$ of R -linear homomorphisms carries the natural structure of a \mathbb{k} -module, and moreover composition in \mathbf{Mod}_R is \mathbb{k} -multilinear.
- (3) Moreover, \mathbb{k} -modules naturally act on R -modules in two different ways: for any \mathbb{k} -module $T \in \mathbf{Mod}_{\mathbb{k}}$ and any R -modules $M, N \in \mathbf{Mod}_R$ we have R -modules

$$T \otimes_{\mathbb{k}} M \quad \text{and} \quad \mathbf{hom}_{\mathbf{Mod}_{\mathbb{k}}}(T, N) ,$$

where the (right) R -actions are induced from those on M and N , and these constructions participate in natural isomorphisms

$$\mathbf{hom}_{\mathbf{Mod}_{\mathbb{k}}}(T, \mathbf{hom}_{\mathbf{Mod}_R}(M, N)) \cong \mathbf{hom}_{\mathbf{Mod}_R}(T \otimes_{\mathbb{k}} M, N) \cong \mathbf{hom}_{\mathbf{Mod}_R}(M, \mathbf{hom}_{\mathbf{Mod}_{\mathbb{k}}}(T, N)) .$$

Of course, one may take $R = \mathbb{k}$ as a special case.¹⁴ As a result, the notions that we will develop relating to the interactions between \mathbb{k} -modules and R -modules will all be *generalizations* of the notions that we develop relating to \mathbb{k} -modules alone.

As we will see later, most of the theory works equally well for a general abelian category, although there will be some additional hiccups that do not arise when studying modules.¹⁵

2.1. Chain complexes, homology, and tensor products.

2.1.1. A *chain complex* of R -modules is a diagram

$$\dots \xrightarrow{d_{n+2}} M_{n+1} \xrightarrow{d_{n+1}} M_n \xrightarrow{d_n} M_{n-1} \xrightarrow{d_{n-1}} \dots$$

of R -modules such that for all $n \in \mathbb{Z}$, the composite $d_n \circ d_{n+1} = 0$. One may simply write M_{\bullet} for a chain complex; the bullet indicates that “all indices are being referred to at once”. To emphasize the differentials, one may write $(M_{\bullet}, d_{\bullet})$. Also, one may simply refer to a chain

¹³Of course, we will apply results developed for R -modules to \mathbb{k} -modules without comment.

¹⁴The above facts then reduce to the assertion that $\mathbf{Mod}_{\mathbb{k}}$ is a *closed* symmetric monoidal category, i.e. that it carries a self-enrichment that is compatible with its symmetric monoidal structure.

¹⁵On the other hand, the *Freyd–Mitchell embedding theorem* states that any abelian category embeds fully faithfully into \mathbf{Mod}_R for some ring R (although the choice of such a ring R is noncanonical). So in a sense, working at the level of abelian categories offers no additional generality.

complex as a “complex”.¹⁶ On the other hand, we also may omit the bullet and simply write $M := M_\bullet$ for simplicity. The integer n is called the *degree* or the *dimension*.^[changed 1/12] For an element $m \in M_n$, we may write $\deg(m) := n$.

The morphisms d_n are called the *differentials* of the chain complex. We fix the convention that they are always indexed by their *source* (i.e. the source of d_n is M_n). However, one frequently omits the indices, in which case the equation $d_n \circ d_{n+1} = 0$ may be more simply written as $d^2 = 0$. On the other hand, when we wish to emphasize that these are the differentials of M_\bullet , we superscript them as d_n^M .

In this notation, a morphism of chain complexes $M_\bullet \xrightarrow{f_\bullet} N_\bullet$ is a sequence of morphisms $M_n \xrightarrow{f_n} N_n$ of R -modules such that the diagram

$$\begin{array}{ccccccc} \cdots & \xrightarrow{d_{n+2}^M} & M_{n+1} & \xrightarrow{d_{n+1}^M} & M_n & \xrightarrow{d_n^M} & M_{n-1} & \xrightarrow{d_{n-1}^M} & \cdots \\ & & \downarrow f_{n+1} & & \downarrow f_n & & \downarrow f_{n-1} & & \\ \cdots & \xrightarrow{d_{n+2}^N} & N_{n+1} & \xrightarrow{d_{n+1}^N} & N_n & \xrightarrow{d_n^N} & N_{n-1} & \xrightarrow{d_{n-1}^N} & \cdots \end{array}$$

commutes.¹⁷^[changed 1/7] These morphisms are often referred to as *chain maps*. We write Ch_R for the category of chain complexes of R -modules.

In depicting a complex, it is customary to decorate the term in degree 0 with a squiggled underline when appropriate.

Any R -module $M \in \text{Mod}_R$ determines a chain complex concentrated in degree 0:

$$\cdots \longrightarrow 0 \longrightarrow \underline{\underline{M}} \longrightarrow 0 \longrightarrow \cdots .$$

This construction determines a fully faithful embedding

$$\text{Mod}_R \hookrightarrow \text{Ch}_R .$$

As a result, we may not notationally distinguish between data in Mod_R and its image in Ch_R .

When a complex only has a few nonzero terms, for brevity one may omit the zero terms. For instance, the above complex may also be written as $\underline{\underline{M}}$.

2.1.2. Fix a chain complex M_\bullet . Its *n -cycles* and *n -boundaries* are the submodules

$$Z_n(M_\bullet) := \ker(d_n) \subseteq M_n \quad \text{and} \quad B_n(M_\bullet) := \text{im}(d_{n+1}) \subseteq M_n .^{18}$$

¹⁶The word “chain” here is historical: the first example of a chain complex has in degree n the “ n^{th} chain group” of a simplicial complex X , i.e. the group of chains (i.e. formal linear combinations) of n -simplices of X . (It was only later realized that chain complexes are worth studying in their own right.)

¹⁷For typographical reasons, we will generally draw morphisms of chain complexes vertically in this way.

¹⁸The German words for “cycle” and “boundary” respectively begin with the letters “Z” and “B”.

Note that $B_n(M_\bullet) \subseteq Z_n(M_\bullet)$ because $d^2 = 0$. Then, the n^{th} **homology** of M_\bullet is the quotient R -module

$$H_n(M_\bullet) := \frac{Z_n(M_\bullet)}{B_n(M_\bullet)} := \frac{\ker(d_n)}{\text{im}(d_{n+1})} .$$

[changed 1/7] Although $H_n(M_\bullet)$ is an R -module, it is common to refer to it merely as a *homology group*.

We say that M_\bullet is **acyclic** if $H_n(M_\bullet) = 0$ for all n .

Exercise 2.1 (2 points). Verify that the constructions Z_n , B_n , and H_n define functors

$$\text{Ch}_R \longrightarrow \text{Mod}_R .$$

2.1.3. A morphism $M_\bullet \xrightarrow{f_\bullet} N_\bullet$ in Ch_R is called a **quasi-isomorphism** if the induced morphisms $H_n(M_\bullet) \xrightarrow{H_n(f_\bullet)} H_n(N_\bullet)$ are isomorphisms for all $n \in \mathbb{Z}$. We may indicate that a morphism is a quasi-isomorphism by decorating the arrow as $\xrightarrow{\cong}$.

By and large, we would like to think of quasi-isomorphic chain complexes as “essentially interchangeable”, with some representatives of a given quasi-isomorphism class (namely the *projective* and *injective* complexes introduced below) being “well-adapted” for certain purposes.¹⁹ In other words, one should think of quasi-isomorphisms as if they are actual isomorphisms.

[changed 1/14] This can be made literally true by **localizing** the category Ch_R at the quasi-isomorphisms, i.e. by adjoining formal inverses for them. This yields a category that (for reasons that will become clear later) we will denote by $H_0(\mathbf{D}_R)$ and refer to as **the derived category of R -modules**; its objects are called **derived R -modules**.²⁰ So by definition, there is a canonical functor

$$\text{Ch}_R \longrightarrow H_0(\mathbf{D}_R)$$

that carries all quasi-isomorphisms to isomorphisms, and moreover it is universal with respect to this requirement. Indeed, for any category \mathcal{C} , the restriction functor

$$\text{Fun}(\text{Ch}_R, \mathcal{C}) \longleftarrow \text{Fun}(H_0(\mathbf{D}_R), \mathcal{C})$$

is a fully faithful inclusion, whose image consists of those functors $\text{Ch}_R \rightarrow \mathcal{C}$ that carry quasi-isomorphisms to isomorphisms.

Note that a derived R -module is a “purely homotopical” object: while it can by definition be presented by a chain complex of R -modules, one cannot speak e.g. of its underlying R -module in dimension 0, as this notion is not preserved under quasi-isomorphisms.²¹ On the

¹⁹This is very closely akin to how one should think of equivalent categories as “essentially interchangeable”, even when they are not isomorphic. However, in a precise sense, *all* categories are “equally well-adapted” for all purposes (in contrast with chain complexes).

²⁰The placement of the word “derived” is admittedly slightly unfortunate, but this terminology is quite common.

²¹Likewise, one cannot speak of the underlying set of a weak homotopy equivalence class of topological spaces, nor can one speak of the set of objects of an equivalence class of categories.

other hand, one *can* speak e.g. of its n^{th} homology, as this notion is by definition preserved under quasi-isomorphisms.

Essentially by construction, given two complexes $M, N \in \mathbf{Ch}_R$, morphisms from M to N in the derived category are given by equivalence classes of zigzags

$$M \xleftarrow{\approx} \bullet \longrightarrow \bullet \xleftarrow{\approx} \cdots \longrightarrow \bullet \xleftarrow{\approx} N$$

(in which all backwards maps are quasi-isomorphisms). Thankfully, it will turn out that every equivalence class contains representatives of the forms

$$M \xleftarrow{\approx} \bullet \longrightarrow N \quad \text{and} \quad M \longrightarrow \bullet \xleftarrow{\approx} N ,$$

which makes the situation substantially more manageable.

Although we introduce the derived category now, we will not have much use for it: it contains too little information. The richer and more primitive object is \mathbf{D}_R , the **derived ∞ -category** of R . This is a mathematical entity whose objects are still the derived R -modules, but whose hom-objects are more elaborate: namely, they are *derived \mathbb{k} -modules*. Of course, passing from \mathbf{D}_R to $\mathbf{H}_0(\mathbf{D}_R)$ amounts to extracting only the 0^{th} homology groups of these hom-objects.

[changed 1/7] While quasi-isomorphic complexes have isomorphic homology groups, we will see that the converse is generally false: the obstruction will be encoded by ***k*-invariants**.²² That is, a quasi-isomorphism class of complexes is equivalent data to its homology groups along with all of its k -invariants. For this reason, we will generally consider (quasi-isomorphism classes of) complexes themselves as the “true” mathematical objects of lasting interest, while their homology groups are merely algebraic invariants that can be extracted therefrom.

2.1.4. [changed 1/7] Given complexes $M, N \in \mathbf{Ch}_{\mathbb{k}}$ of \mathbb{k} -modules, we define their **tensor product complex**

$$(M \otimes N)_{\bullet} := (M \otimes_{\mathbb{k}} N)_{\bullet}$$

as follows. First of all, we define its k^{th} term to be

$$(M \otimes N)_k := \bigoplus_{i+j=k} (M_i \otimes N_j) := \bigoplus_{i+j=k} (M_i \otimes_{\mathbb{k}} N_j) .$$

Then, the differential is characterized by the fact that it carries a pure tensor

$$m \otimes n \in (M_i \otimes N_j) \subseteq (M \otimes N)_k$$

to the sum of pure tensors

$$d(m \otimes n) := d(m) \otimes n + (-1)^j \cdot m \otimes d(n) , \quad ^{23}$$

²²This same name is given to the (closely analogous) obstructions to spaces with the same homotopy groups being weak homotopy equivalent.

²³The factor $(-1)^j$ is determined by the *Koszul sign rule*, which is a general principle asserting that in commuting graded quantities past one another of degrees $k, j \in \mathbb{Z}$ one should pick up a factor of $(-1)^{k \cdot j}$.

(an element of $((M_{i-1} \otimes N_j) \oplus (M_i \otimes N_{j-1})) \subseteq (M \otimes N)_{k-1}$). More elaborately, this may be written as

$$d_k^{M \otimes N}(m \otimes n) := d_k^M(m) \otimes n + (-1)^j \cdot m \otimes d_k^N(n) .$$

Exercise 2.2 (2 points). Verify that this formula defines a complex.

[changed 1/12] In particular, in solving Exercise 2.2 you will see why the signs are necessary in the definition of the tensor product of complexes. In fact, many sign conventions are possible (and all give equivalent symmetric monoidal categories), but it is impossible to remove all signs from the theory (unless one works over \mathbb{F}_2).

From here, it is straightforward to see that the above construction defines a monoidal structure

$$\mathbf{Ch}_{\mathbb{k}} \times \mathbf{Ch}_{\mathbb{k}} \xrightarrow{\otimes} \mathbf{Ch}_{\mathbb{k}} ,$$

with unit object $\mathbb{k} := \underline{\mathbb{k}} \in \mathbf{Ch}_{\mathbb{k}}$. [changed 1/12] In fact, this is a *symmetric* monoidal structure, with symmetry isomorphisms

$$M \otimes N \xrightarrow{\cong} N \otimes M$$

determined by the formula

$$m \otimes n \longmapsto (-1)^{\deg(m) \cdot \deg(n)} \cdot n \otimes m .^{24}$$

[changed 1/14] More generally, this same construction defines an action

$$\mathbf{Ch}_{\mathbb{k}} \times \mathbf{Ch}_R \xrightarrow{\otimes} \mathbf{Ch}_R$$

of the symmetric monoidal category $(\mathbf{Ch}_{\mathbb{k}}, \otimes, \mathbb{k})$ on the category \mathbf{Ch}_R .

Exercise 2.3 (8 points). Fix two complexes $M, N \in \mathbf{Ch}_{\mathbb{k}}$.

(a) Verify that the formula $[m] \otimes [n] \mapsto [m \otimes n]$ determines a morphism

$$H_i(M) \otimes H_j(N) \longrightarrow H_{i+j}(M \otimes N)$$

of \mathbb{k} -modules.

It follows that we obtain a morphism

$$\bigoplus_{i+j=k} (H_i(M) \otimes H_j(N)) \longrightarrow H_k(M \otimes N)$$

of \mathbb{k} -modules.

(b) Prove that this is an isomorphism under the assumption that \mathbb{k} is a field.

(c) Find an example where this is not an isomorphism.

2.2. Homotopies, homotopy co/kernels, and exact sequences.

Namely, we consider the symbol “ d ” as an expression of degree -1 (which makes sense since it changes dimensions by 1).

²⁴This formula is another instance of the Koszul sign rule.

2.2.1. Let $M_1 \xrightarrow{f} M_0$ be a morphism of R -modules. This gives us a complex $M_\bullet := (M_1 \xrightarrow{f} \underline{M_0})$. Observe that this has a canonical morphism

$$\begin{array}{ccc} M_\bullet & & M_1 \xrightarrow{f} \underline{M_0} \\ \downarrow & := & \downarrow \quad \quad \downarrow \\ \text{coker}(f) & & 0 \longrightarrow \underline{\text{coker}(f)} \end{array}$$

to the cokernel of f (considered as a complex in degree 0). Observe further that

$$H_n(M_\bullet) \cong \begin{cases} \text{coker}(f) , & n = 0 \\ \text{ker}(f) , & n = 1 \\ 0 , & \text{otherwise} \end{cases} .$$

Hence, the above map is a quasi-isomorphism iff f is an injection. One might think of M_\bullet as a “presentation” of the underlying R -module $H_0(M_\bullet) \cong \text{coker}(f)$: the generators are M_0 , the relations are M_1 (i.e. each $m \in M_1$ gives a relation $d(m) \sim 0$), but then $H_1(M_\bullet)$ furthermore measures the “redundancy” of the relations. Said differently, M_\bullet is a “homotopically correct” version of the cokernel of f , which remembers not only the literal cokernel but also the extent to which the relations are overdetermined. Indeed, it will be the *homotopy cokernel* of the morphism f .

2.2.2. Let $M_\bullet, N_\bullet \in \text{Ch}_R$ be complexes and let $f_\bullet, g_\bullet \in \text{hom}_{\text{Ch}_R}(M_\bullet, N_\bullet)$ be morphisms. A (*chain*) *homotopy* from f_\bullet to g_\bullet is a set of morphisms

$$M_n \xrightarrow{h_n} N_{n+1}$$

satisfying the condition that

$$g_n - f_n = d_{n+1}^N \circ h_n + h_{n-1} \circ d_n^M .$$

We may write this as $f_\bullet \xrightarrow{h_\bullet} g_\bullet$. A *nullhomotopy* of g_\bullet is a homotopy $0 \xrightarrow{h_\bullet} g_\bullet$ from the zero map. A *contraction* of a complex is a nullhomotopy of its identity map. If a complex admits a contraction, we say that it is *contractible*.

Exercise 2.4 (3 points). Show that the relation of homotopy on $\text{hom}_{\text{Ch}_R}(M_\bullet, N_\bullet)$ is an equivalence relation.

In fact, the argument of Exercise 2.4 easily upgrades to imply that we can enhance Ch_R from an ordinary category to a category enriched in groupoids (a.k.a. a $(2, 1)$ -category).²⁵ its objects are chain complexes, its 1-morphisms are chain maps, and its 2-morphisms are chain homotopies.²⁶ Indeed, the arguments for transitivity, reflexivity, and symmetry of

²⁵As we will see, this is the homotopy $(2, 1)$ -category of a more fundamental object, namely an ∞ -category (meaning an $(\infty, 1)$ -category).

²⁶This explains the notation $f_\bullet \Rightarrow g_\bullet$ just introduced.

the relation of homotopy respectively endow these hom-categories with their composition laws, identity morphisms, and inverses (so that they are indeed hom-groupoids). It is moreover clear that homotopies may be composed appropriately, either by definition or using Exercise 2.5 below.

For present and future use, we introduce the complex $\mathbb{I} \in \mathbf{Ch}_k$ and the morphisms $i_0, i_1 \in \mathbf{hom}_{\mathbf{Ch}_k}(k, \mathbb{I})$ according to the diagram

$$\begin{array}{ccc}
 \begin{array}{c} k \\ \downarrow i_0 \\ \mathbb{I} \\ \uparrow i_1 \\ k \end{array} & := & \begin{array}{ccc} 0 & \longrightarrow & k \\ \downarrow & & \downarrow (-\text{id}_k, 0) \\ k & \xrightarrow{(-\text{id}_k, \text{id}_k)} & \underline{k \oplus k} \\ \uparrow & & \uparrow (0, \text{id}_k) \\ 0 & \longrightarrow & k \end{array} .
 \end{array}$$

This object $\mathbb{I} \in \mathbf{Ch}_k$ (along with the two maps i_0 and i_1) is an *interval object* for the homotopy theory of chain complexes (which explains the notation).²⁷ We will see the general definition of an interval object later. In the present setting, this assertion amounts to the following result.

Exercise 2.5 (4 points). Given morphisms $f, g \in \mathbf{hom}_{\mathbf{Ch}_R}(M, N)$, prove that a homotopy $f \Rightarrow g$ is equivalent data to a morphism $\mathbb{I} \otimes M \rightarrow N$ that makes the diagram

$$\begin{array}{ccc}
 k \otimes M \cong M & & \\
 \downarrow i_0 \otimes \text{id}_M & \searrow f & \\
 \mathbb{I} \otimes M & \xrightarrow{\quad h \quad} & N \\
 \uparrow i_1 \otimes \text{id}_M & \nearrow g & \\
 k \otimes M \cong M & &
 \end{array}$$

commute.

²⁷This is a particularly natural choice of an interval object: as we will see, it is the simplicial chains (with coefficients in k) on the 1-simplex Δ^1 (whose underlying topological space is a closed interval), and the two maps i_0 and i_1 are the simplicial chains on the inclusions of its two 0-simplices (i.e. the endpoints of the closed interval).

A morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R is a **homotopy equivalence** if there exists a morphism $N \xrightarrow{g} M$ and homotopies $\text{id}_M \Rightarrow g \circ f$ and $f \circ g \Rightarrow \text{id}_N$.²⁸ We may indicate that a morphism is a homotopy equivalence by decorating it as $\xrightarrow{\sim}$.

As a special case, a complex M_\bullet is contractible if and only if either unique map $0 \rightarrow M_\bullet$ or $M_\bullet \rightarrow 0$ is a homotopy equivalence.

Exercise 2.6 (3 points). Show that homotopic maps on complexes give equal maps on homology.

It follows immediately from Exercise 2.6 that homotopy equivalences are quasi-isomorphisms, and in particular that contractible complexes are acyclic. However, the converse is false.

Exercise 2.7 (10 points).

(a) Show that the complex

$$\cdots \xrightarrow{2} \mathbb{Z}/4 \xrightarrow{2} \mathbb{Z}/4 \xrightarrow{2} \mathbb{Z}/4 \xrightarrow{2} \cdots$$

of $\mathbb{Z}/4$ -modules is acyclic but not contractible.

(b) Show that the complex

$$\cdots \xrightarrow{x} \mathbb{k}[x]/x^2 \xrightarrow{x} \mathbb{k}[x]/x^2 \xrightarrow{x} \mathbb{k}[x]/x^2 \xrightarrow{x} \cdots$$

of $\mathbb{k}[x]/x^2$ -modules is acyclic. Show that it is contractible as a complex of \mathbb{k} -modules, but not as a complex of $\mathbb{k}[x]/x^2$ -modules (assuming that $\mathbb{k} \neq 0$).

We will generally consider homotopic maps as “essentially interchangeable”. On the other hand, rather than merely positing the *existence* of a homotopy between two maps, we will always want to *keep track* of the homotopy that witnesses them as being homotopic.

2.2.3. Recall that the cokernel of a morphism $M \xrightarrow{f} N$ in \mathbf{Mod}_R is by definition an R -module $\text{coker}(f) \in \mathbf{Mod}_R$ equipped with a morphism

$$N \xrightarrow{u} \text{coker}(f)$$

satisfying the universal property that precomposition with u determines a bijection

$$\text{hom}_{\mathbf{Mod}_R}(\text{coker}(f), T) \xrightarrow{\cong} \left\{ \begin{array}{l} \text{morphisms } N \rightarrow T \text{ such that the} \\ \text{composite } M \xrightarrow{f} N \rightarrow T \text{ is zero} \end{array} \right\} . \quad 29$$

²⁸The directions of these homotopies are intended to be suggestive of adjunctions (with f functioning as the left adjoint), but by Exercise 2.4 they are irrelevant. (Likewise, equivalences of categories are both left adjoints and right adjoints.)

²⁹Said differently, the cokernel of f is the pushout

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \downarrow & & \downarrow \\ 0 & \longrightarrow & \text{coker}(f) \end{array} .$$

From here, the above principles lead directly to the definition of a **homotopy cokernel** of a morphism $M_\bullet \xrightarrow{f_\bullet} N_\bullet$ in \mathbf{Ch}_R :³⁰ this is an object $\mathrm{hcoker}(f_\bullet) \in \mathbf{Ch}_R$ equipped with a morphism

$$N_\bullet \xrightarrow{u_\bullet} \mathrm{hcoker}(f_\bullet)$$

satisfying the universal property that precomposition with u_\bullet determines a bijection

$$\mathrm{hom}_{\mathbf{Ch}_R}(\mathrm{hcoker}(f_\bullet), T_\bullet) \xrightarrow{\cong} \left\{ \begin{array}{l} \text{morphisms } N_\bullet \rightarrow T_\bullet \text{ equipped with a} \\ \text{nullhomotopy of the composite } M_\bullet \xrightarrow{f_\bullet} N_\bullet \rightarrow T_\bullet \end{array} \right\}. \quad 31$$

In fact, we claim that we have already seen an example of a homotopy cokernel: namely, for any morphism $M \xrightarrow{f} N$ in \mathbf{Mod}_R , the complex $(M \xrightarrow{f} \underline{N}) \in \mathbf{Ch}_R$ equipped with the map

$$\begin{array}{ccc} 0 & \longrightarrow & \underline{N} \\ \downarrow & & \downarrow \mathrm{id}_N \\ M & \xrightarrow{f} & \underline{N} \end{array}$$

is a homotopy cokernel of f (when considered in \mathbf{Ch}_R). More generally, given a morphism $M_\bullet \xrightarrow{f_\bullet} N_\bullet$ in \mathbf{Ch}_R , consider the diagram

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\begin{pmatrix} -d_1^M & 0 \\ f_1 & d_2^N \end{pmatrix}} & \begin{array}{c} M_0 \\ \oplus \\ N_1 \end{array} & \xrightarrow{\begin{pmatrix} -d_0^M & 0 \\ f_0 & d_1^N \end{pmatrix}} & \begin{array}{c} M_{-1} \\ \oplus \\ \underline{N_0} \end{array} & \xrightarrow{\begin{pmatrix} -d_{-1}^M & 0 \\ f_{-1} & d_0^N \end{pmatrix}} & \begin{array}{c} M_{-2} \\ \oplus \\ N_{-1} \end{array} & \xrightarrow{\begin{pmatrix} -d_{-2}^M & 0 \\ f_{-2} & d_{-1}^N \end{pmatrix}} & \cdots \end{array}$$

of R -modules.

Exercise 2.8 (6 points). Verify that the above diagram indeed defines a complex and moreover is a homotopy cokernel of f_\bullet .

In particular, we find that for a morphism $M \xrightarrow{f} N$ in \mathbf{Mod}_R , we have a canonical morphism $\mathrm{hcoker}(f) \rightarrow \mathrm{coker}(f)$, and this is a quasi-isomorphism iff f is injective. This is an instance of the general principle that homotopically sensitive constructions are equivalent (in this case

³⁰This is more often referred to as the *cone*, but we prefer the term “homotopy cokernel” as it is more directly suggestive of the object’s “role in life” (a.k.a. its *raison d’être*). It is also common to refer to this as the *homotopy cofiber*, but this deviates from the more standard terminology of “cokernel” (as opposed to “cofiber”) in the context of an abelian category.

³¹Similarly, the homotopy cokernel of f may be characterized as the *homotopy pushout*

$$\begin{array}{ccc} M_\bullet & \xrightarrow{f_\bullet} & N_\bullet \\ \downarrow & \rightrightarrows & \downarrow \\ 0 & \longrightarrow & \mathrm{hcoker}(f_\bullet) \end{array},$$

i.e. the *initial homotopy-coherent cocone* over the diagram $0 \leftarrow M_\bullet \xrightarrow{f_\bullet} N_\bullet$. Here, the symbol \rightrightarrows indicates that the square only commutes up to a (specified) homotopy.

quasi-isomorphic) to their ordinary variants in “simple” situations (in this case, when there is no redundancy in the relations). More generally, we have the following.

Exercise 2.9 (6 points). Fix a morphism $M \xrightarrow{f} N$ in Ch_R that is injective in each dimension.

(a) Show that the canonical morphism

$$\text{hcoker}(f) \longrightarrow \text{coker}(f)$$

to the levelwise cokernel is a quasi-isomorphism.

(b) Give an example showing that this map need not be a homotopy equivalence.

As a special case of a homotopy cokernel, we simply write $\Sigma M_\bullet := \text{hcoker}(M_\bullet \rightarrow 0)$,³² and refer to this as the *suspension* of M_\bullet .³³ So by definition, giving a chain map $\Sigma M_\bullet \rightarrow T_\bullet$ is equivalent to giving a nullhomotopy of the composite map $M_\bullet \rightarrow 0 \rightarrow T_\bullet$.

It is evident from the construction that suspension defines an autoequivalence

$$\text{Ch}_R \xrightarrow{\Sigma} \text{Ch}_R .$$

Namely,

$$\Sigma \left(\cdots \xrightarrow{d_2} M_1 \xrightarrow{d_1} \underline{\underline{M_0}} \xrightarrow{d_0} M_{-1} \xrightarrow{d_{-1}} \cdots \right) \cong \left(\cdots \xrightarrow{-d_1} M_0 \xrightarrow{-d_0} \underline{\underline{M_{-1}}} \xrightarrow{-d_{-1}} M_{-2} \xrightarrow{-d_{-2}} \cdots \right) :$$

the operation of suspension simply shifts all terms up by one and negates all differentials. We write Σ^{-1} for its inverse, which we refer to as *desuspension*. More generally, for any $k \in \mathbb{N}$ we write $\Sigma^k := \Sigma^{\circ k}$ and $\Sigma^{-k} := (\Sigma^{-1})^{\circ k}$. We also note for future reference the evident natural isomorphisms

$$H_n \circ \Sigma^k \cong H_{n-k}$$

for all $n, k \in \mathbb{Z}$.

Exercise 2.10 (6 points). Fix a morphism $M \xrightarrow{f} N$ in Ch_R .

(a) Using the formula for the homotopy cokernel above, establish a canonical homotopy equivalence

$$\Sigma M \simeq \text{hcoker}(N \xrightarrow{u} \text{hcoker}(f)) .^{34}$$

(b) Establish this same homotopy equivalence using the universal characterization of homotopy cokernels (as well as previously established properties of homotopies).

³²Not coincidentally, when R is commutative this admits a canonical identification

$$\Sigma M_\bullet \cong (R \rightarrow 0) \otimes M_\bullet$$

with the tensor product of M_\bullet with the reduced simplicial chains on the simplicial circle $\Delta^1/\partial\Delta^1$. [changed 1/12] (Note that this is consistent with our sign convention for tensor products; the complex $M_\bullet \otimes (R \rightarrow 0)$ is different (although naturally isomorphic)).

³³This is more often denoted $M_\bullet[1]$ and referred to as the *shift* of M , but we prefer the more blatantly topological notation and terminology.

³⁴That these are quasi-isomorphic follows from Exercise 2.9(a).

Exercise 2.11 (4 points). Fix a morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R .

- (a) Prove that f is a quasi-isomorphism iff $\mathbf{hcoker}(f)$ is acyclic.
- (b) Prove that f is a homotopy equivalence iff $\mathbf{hcoker}(f)$ is contractible.

2.2.4. Dually, recall that the kernel of a morphism $M \xrightarrow{f} N$ in \mathbf{Mod}_R is by definition an R -module $\ker(f) \in \mathbf{Mod}_R$ equipped with a morphism

$$\ker(f) \xrightarrow{v} M$$

satisfying the universal property that postcomposition with v determines a bijection

$$\mathrm{hom}_{\mathbf{Mod}_R}(T, \ker(f)) \xrightarrow{\cong} \left\{ \begin{array}{l} \text{morphisms } T \rightarrow M \text{ such that the} \\ \text{composite } T \rightarrow M \xrightarrow{f} N \text{ is zero} \end{array} \right\} . \quad 35$$

This leads to the dual notion of a *homotopy kernel* of a morphism $M_\bullet \xrightarrow{f_\bullet} N_\bullet$ in \mathbf{Ch}_R : this is an object $\mathbf{hker}(f_\bullet) \in \mathbf{Ch}_R$ equipped with a morphism

$$\mathbf{hker}(f_\bullet) \xrightarrow{v_\bullet} M_\bullet$$

satisfying the universal property that postcomposition with v_\bullet determines a bijection

$$\mathrm{hom}_{\mathbf{Ch}_R}(T_\bullet, \mathbf{hker}(f_\bullet)) \xrightarrow{\cong} \left\{ \begin{array}{l} \text{morphisms } T_\bullet \rightarrow M_\bullet \text{ equipped with a} \\ \text{nullhomotopy of the composite } T_\bullet \rightarrow M_\bullet \xrightarrow{f_\bullet} N_\bullet \end{array} \right\} . \quad 36$$

Exercise 2.12 (4 points). Given a morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R , prove that the evident levelwise projection map

$$\Sigma^{-1}\mathbf{hcoker}(f) \longrightarrow M$$

is a homotopy kernel of f .³⁷

³⁵Said differently, the kernel of f is the pullback

$$\begin{array}{ccc} \ker(f) & \longrightarrow & 0 \\ \downarrow & & \downarrow \\ M & \xrightarrow{f} & N \end{array} .$$

³⁶Similarly, the homotopy kernel of f may be characterized as the *homotopy pullback*

$$\begin{array}{ccc} \mathbf{hker}(f_\bullet) & \longrightarrow & 0 \\ \downarrow & \not\cong & \downarrow \\ M_\bullet & \xrightarrow{f_\bullet} & N_\bullet \end{array} ,$$

i.e. the *terminal homotopy-coherent cone* over the diagram $0 \leftarrow M_\bullet \xrightarrow{f_\bullet} N_\bullet$.

³⁷There are unfortunately some signs that should arise here. They could be removed by tweaking the construction of \mathbf{hker} (giving a different but isomorphic formula), but they would then arise elsewhere.

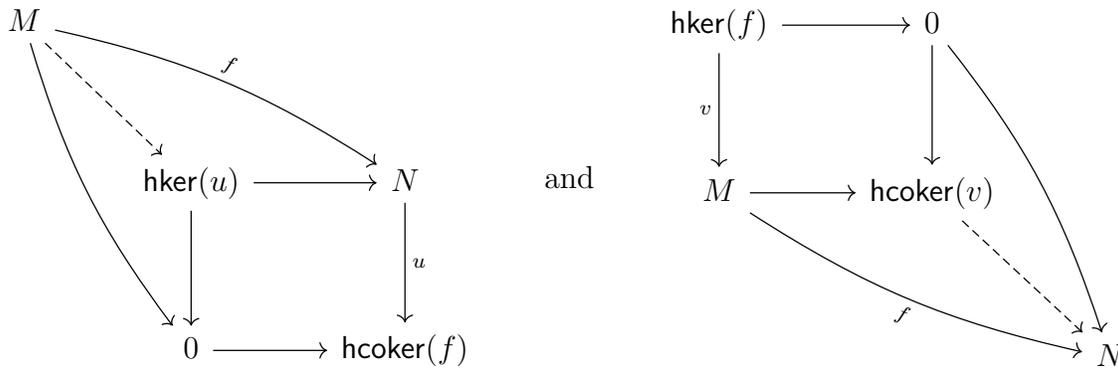
By combining Exercises 2.9(a) and 2.12, it follows that if a morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R is surjective in each dimension, then the canonical morphism

$$\ker(f) \longrightarrow \mathrm{hker}(f)$$

from the levelwise kernel is a quasi-isomorphism (though again it is not necessarily a homotopy equivalence).

Although it will take some time to see why, the following feature is in some sense the *fundamental advantage* of working in \mathbf{Ch}_R instead of in \mathbf{Mod}_R .³⁸

Exercise 2.13 (6 points). Prove that for any morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R the dashed canonical morphisms



are homotopy equivalences.³⁹

Namely, this implies that up to homotopy equivalence, every homotopy cokernel sequence is a homotopy kernel sequence, and conversely. Of course, this fails drastically in \mathbf{Mod}_R : a cokernel sequence is a kernel sequence iff the original map is injective, and a kernel sequence is a cokernel sequence iff the original map is surjective. (So, the only co/kernel sequences in \mathbf{Mod}_R that are also homotopy co/kernel sequences in \mathbf{Ch}_R are trivial: those for which the original map is an isomorphism.)

2.2.5. A complex $M_\bullet \in \mathbf{Ch}_R$ is said to be **exact** at M_n if $H_n(M_\bullet) = 0$. In particular, an acyclic complex is also called an **exact sequence**, or sometimes a **long exact sequence** to emphasize that it is (potentially) infinite in one or both directions.

As a special case, a **short exact sequence** is a three-term acyclic complex

$$0 \longrightarrow L \xrightarrow{f} M \xrightarrow{g} N \longrightarrow 0 .$$

So, a short exact sequence is such a diagram satisfying the conditions that f is injective, g is surjective, and $\ker(g) = \mathrm{im}(f)$. In this case, one may also say that M is an **extension** of

³⁸Namely, this is the key property that makes the underlying ∞ -category of \mathbf{Ch}_R into a *stable* ∞ -category.

³⁹Note that these diagrams are only *homotopy-coherently* commutative (indeed, the canonical morphisms are induced by homotopy-coherent universal properties).

N by L . For instance,

$$0 \longrightarrow \mathbb{Z}/2 \xrightarrow{2} \mathbb{Z}/4 \xrightarrow{1} \mathbb{Z}/2 \longrightarrow 0$$

is a short exact sequence of \mathbb{Z} -modules, which expresses $\mathbb{Z}/4$ as an extension of $\mathbb{Z}/2$ by itself.

More generally, we may refer to any (possibly finite) sequence of morphisms in \mathbf{Mod}_R as an **exact sequence** if it is exact at all interior terms. So for example, one may refer to the diagram

$$\mathbb{Z}/4 \xrightarrow{2} \mathbb{Z}/4 \xrightarrow{1} \mathbb{Z}/2 \longrightarrow 0$$

as an exact sequence.⁴⁰

The following is the source of almost every single long exact sequence in mathematics.⁴¹

Exercise 2.14 (2 points). For any morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R , show that the sequence

$$\mathbf{H}_0(M) \xrightarrow{\mathbf{H}_0(f)} \mathbf{H}_0(N) \xrightarrow{\mathbf{H}_0(u)} \mathbf{H}_0(\mathbf{hcoker}(f))$$

is exact.

Namely, from Exercises 2.10, 2.12, and 2.13 we obtain an infinite sequence

$$\begin{array}{ccccccc} \dots & \xrightarrow{\Sigma^{-1}v} & \Sigma^{-1}M & \xrightarrow{\Sigma^{-1}f} & \Sigma^{-1}N & \xrightarrow{\Sigma^{-1}u} & \Sigma^{-1}\mathbf{hcoker}(f) \\ & & & & \wr & & \\ & & & & \mathbf{hker}(f) & \xrightarrow{v} & M & \xrightarrow{f} & N & \xrightarrow{u} & \mathbf{hcoker}(f) \\ & & & & & & & & & & \wr & \\ & & & & & & & & & & \Sigma\mathbf{hker}(f) & \xrightarrow{\Sigma v} & \Sigma M & \xrightarrow{\Sigma f} & \Sigma N & \xrightarrow{\Sigma u} & \dots \end{array}$$

of morphisms in \mathbf{Ch}_R in which every composable pair of morphisms is a homotopy cokernel sequence up to homotopy equivalence. Thereafter, by Exercise 2.14, applying \mathbf{H}_0 yields a long exact sequence

$$\begin{array}{ccccccc} \dots & \rightarrow & \mathbf{H}_1(M) & \rightarrow & \mathbf{H}_1(N) & \rightarrow & \mathbf{H}_1(\mathbf{coker}(f)) \\ & & & & \wr & & \\ & & & & \mathbf{H}_0(\mathbf{hker}(f)) & \rightarrow & \mathbf{H}_0(M) & \rightarrow & \mathbf{H}_0(N) & \rightarrow & \mathbf{H}_0(\mathbf{hcoker}(f)) \\ & & & & & & & & & & \wr & \\ & & & & & & & & & & \mathbf{H}_{-1}(\mathbf{hker}(f)) & \rightarrow & \mathbf{H}_{-1}(M) & \rightarrow & \mathbf{H}_{-1}(N) & \rightarrow & \dots \end{array}$$

in \mathbf{Mod}_R .

Just as (quasi-isomorphism classes of) complexes encode more information than their homology groups, so does a (quasi-isomorphism class of) morphism in \mathbf{Ch}_R encode more information than the corresponding long exact sequence. Therefore, we view the homotopy co/kernel sequence as the more fundamental notion.

⁴⁰Note in particular that we are *not* implicitly extending the sequence by zero here.

⁴¹A notable exception is the long exact sequence on homotopy groups.

Directly from the definition, we have that $Z_0(\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)) \cong \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)$. Moreover, given any morphism $M \xrightarrow{f} N$ in \mathbf{Ch}_R , a nullhomotopy $0 \xRightarrow{h} f$ is equivalent data to an element $h \in \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)$ such that $d_1(h) = f$. So, $H_0(\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N))$ is canonically isomorphic to the abelian group of *homotopy classes* of morphisms $M \rightarrow N$. Note too that

$$\Sigma^i \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N) \cong \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(\Sigma^{-i} M, N) \cong \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, \Sigma^i N) ;$$

this gives an analogous description of all homology groups of the hom-complex, as $H_n \cong H_0 \circ \Sigma^{-n}$. On the other hand, these homology groups can also be understood in a more homotopical (although closely related) manner.

Exercise 2.17 (4 points). Given a chain map $M \xrightarrow{f} N$ and two nullhomotopies $0 \xRightarrow{h_0} f$ and $0 \xRightarrow{h_1} f$, define a notion of a homotopy $h_0 \Rightarrow h_1$ between homotopies, and prove that such a higher homotopy always exists precisely when $H_1(\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)) = 0$.

If one views the complexes M and N as 0-cells, the maps $M \xrightarrow{0} N$ and $M \xrightarrow{f} N$ as 1-cells, and the homotopies h_i as 2-cells, then such a higher homotopy should be viewed as a 3-cell. Of course, there are analogs of this same interpretation for all the homology groups of $\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)$.

For brevity, we may simply write

$$\underline{\mathbf{hom}}(M, N) := \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N) .$$

2.3.2. These hom-complexes, in turn, can be naturally assembled into a single object.

Exercise 2.18 (8 points). Fix any complexes $L, M, N, O \in \mathbf{Ch}_R$.

(a) Construct a map

$$\underline{\mathbf{hom}}(L, M) \otimes \underline{\mathbf{hom}}(M, N) \xrightarrow{\chi_{L, M, N}} \underline{\mathbf{hom}}(L, N)$$

in \mathbf{Ch}_k that encodes the composition of morphisms of complexes.

(b) Verify that these composition morphisms are associative, in the sense that the diagram

$$\begin{array}{ccc} \underline{\mathbf{hom}}(L, M) \otimes \underline{\mathbf{hom}}(M, N) \otimes \underline{\mathbf{hom}}(N, O) & \xrightarrow{\chi_{L, M, N} \otimes \text{id}} & \underline{\mathbf{hom}}(L, N) \otimes \underline{\mathbf{hom}}(N, O) \\ \text{id} \otimes \chi_{M, N, O} \downarrow & & \downarrow \chi_{L, N, O} \\ \underline{\mathbf{hom}}(L, M) \otimes \underline{\mathbf{hom}}(M, O) & \xrightarrow{\chi_{L, M, O}} & \underline{\mathbf{hom}}(L, O) \end{array}$$

commutes.⁴⁴

⁴⁴This implicitly uses the associativity of the operation \otimes in \mathbf{Ch}_k .

(c) Construct a map

$$\mathbb{k} \xrightarrow{\iota_M} \underline{\mathbf{hom}}(M, M)$$

using the identity morphism of $M \in \mathbf{Ch}_R$, and verify that it defines a two-sided identity for the above composition in the sense that the diagrams

$$\begin{array}{ccc} \underline{\mathbf{hom}}(L, M) \otimes \mathbb{k} & \xrightarrow{\text{id} \otimes \iota_M} & \underline{\mathbf{hom}}(L, M) \otimes \underline{\mathbf{hom}}(M, M) \\ & \searrow \cong & \downarrow \chi_{L, M, M} \\ & & \underline{\mathbf{hom}}(L, M) \end{array}$$

and

$$\begin{array}{ccc} \mathbb{k} \otimes \underline{\mathbf{hom}}(M, N) & \xrightarrow{\iota_M \otimes \text{id}} & \underline{\mathbf{hom}}(M, M) \otimes \underline{\mathbf{hom}}(M, N) \\ & \searrow \cong & \downarrow \chi_{M, M, N} \\ & & \underline{\mathbf{hom}}(M, N) \end{array}$$

commute (where the isomorphisms are the canonical ones coming from the fact that $\mathbb{k} \in \mathbf{Ch}_{\mathbb{k}}$ is the unit object).

Altogether, Exercise 2.18 yields a (\mathbb{k} -*linear*) *dg-category*,⁴⁵ i.e. a category enriched in the symmetric monoidal category $(\mathbf{Ch}_{\mathbb{k}}, \otimes, \mathbb{k})$:⁴⁶ its objects are the chain complexes of R -modules and its hom-objects are the hom-complexes between them. We denote this dg-category by \mathbf{K}_R and refer to it as *the dg-category of complexes of R -modules*.⁴⁷ In particular, we may also write $\mathbf{hom}_{\mathbf{K}_R}(M, N) := \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)$; our chosen notation will depend on our desired emphasis.

The fundamental purpose of the dg-category \mathbf{K}_R is to assemble the data of chain maps, homotopies, and higher (and lower) homotopies into a single object. In particular, it is the homology groups of the hom-complexes in \mathbf{K}_R that are relevant. Therefore, it will be natural to view the hom-complexes in \mathbf{K}_R as “only being important up to quasi-isomorphism”.

⁴⁵Here, “dg” is short for “differential graded”. (In general, it is common to refer to a chain complex of R -modules as a *dg- R -module*.)

⁴⁶Of course, a dg-category is a particular instance of a more general notion. Namely, given a monoidal category $\mathcal{V} := (\mathcal{V}, \otimes_{\mathcal{V}}, \mathbb{1}_{\mathcal{V}})$, there is a natural notion of a *category enriched in \mathcal{V}* , or simply a *\mathcal{V} -enriched category*: a \mathcal{V} -enriched category \mathcal{C} consists of a set of objects, the data of hom-objects $\underline{\mathbf{hom}}_{\mathcal{C}}(X, Y) \in \mathcal{V}$ for all $X, Y \in \mathcal{C}$, and the data of composition and identity morphisms

$$\underline{\mathbf{hom}}_{\mathcal{C}}(X, Y) \otimes_{\mathcal{V}} \underline{\mathbf{hom}}_{\mathcal{C}}(Y, Z) \xrightarrow{\chi_{X, Y, Z}} \underline{\mathbf{hom}}_{\mathcal{C}}(X, Z) \quad \text{and} \quad \mathbb{1}_{\mathcal{V}} \xrightarrow{\iota_Y} \underline{\mathbf{hom}}_{\mathcal{C}}(Y, Y)$$

in \mathcal{V} for all $X, Y, Z \in \mathcal{C}$, subject to the evident associativity and unitality conditions. (As indicated, one sometimes uses an underline to emphasize that these are *enriched* hom-objects (as opposed to mere hom-sets). On the other hand, note that the notation $\mathbf{hom}_{\mathcal{C}}(X, Y)$ is already unambiguous, as \mathcal{C} is a \mathcal{V} -enriched category.

⁴⁷The German word for “complex” begins with the letter “K”.

As a special case, note that for any complex $M \in \mathbf{Ch}_R$, composition in \mathbf{K}_R makes the complex $\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, M) \in \mathbf{Ch}_{\mathbb{k}}$ into an *associative algebra object*, i.e. it is a ***dg-algebra*** (or ***dga*** for short) over \mathbb{k} .

Exercise 2.19 (4 points). Show that the following conditions are equivalent.

- (i) The complex $M \in \mathbf{Ch}_R$ is contractible.
- (ii) The complex $\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, M) \in \mathbf{Ch}_{\mathbb{k}}$ is acyclic.
- (iii) The complex $\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, M) \in \mathbf{Ch}_{\mathbb{k}}$ has that $\mathbf{H}_0(\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, M)) = 0$.
- (iv) The element $[\mathrm{id}_M] \in \mathbf{H}_0(\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, M))$ has that $[\mathrm{id}_M] = 0$.

2.3.3. In order to understand the hom-complexes in the dg-category \mathbf{K}_R , it is helpful to understand how they interact with other complexes of \mathbb{k} -modules.

We take our motivation from the expected tensor-hom adjunction for complexes of \mathbb{k} -modules: for any $T, M, N \in \mathbf{Ch}_{\mathbb{k}}$ we have a natural isomorphism

$$\mathbf{hom}_{\mathbf{Ch}_{\mathbb{k}}}(T \otimes_{\mathbb{k}} M, N) \cong \mathbf{hom}_{\mathbf{Ch}_{\mathbb{k}}}(T, \underline{\mathbf{hom}}_{\mathbf{Ch}_{\mathbb{k}}}(M, N)) .$$

The general situation is as follows. For any complex $T \in \mathbf{Ch}_{\mathbb{k}}$ of \mathbb{k} -modules and any complexes $M, N \in \mathbf{Ch}_R$ of R -modules, we may form the complexes

$$T \otimes_{\mathbb{k}} M \quad \text{and} \quad \underline{\mathbf{hom}}_{\mathbf{Ch}_{\mathbb{k}}}(T, N)$$

of R -modules, where the (right) R -actions are induced from those on M and N . These satisfy the universal properties that

$$\mathbf{hom}_{\mathbf{Ch}_{\mathbb{k}}}(T, \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)) \cong \mathbf{hom}_{\mathbf{Ch}_R}(T \otimes_{\mathbb{k}} M, N) \cong \mathbf{hom}_{\mathbf{Ch}_R}(M, \underline{\mathbf{hom}}_{\mathbf{Ch}_{\mathbb{k}}}(T, N)) .^{48}$$

In fact, these satisfy enriched universal properties.

Exercise 2.20 (4 points). Prove that for any $T \in \mathbf{Ch}_{\mathbb{k}}$ and any $M, N \in \mathbf{Ch}_R$ we have natural isomorphisms

$$\underline{\mathbf{hom}}_{\mathbf{Ch}_{\mathbb{k}}}(T, \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, N)) \cong \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(T \otimes_{\mathbb{k}} M, N) \cong \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(M, \underline{\mathbf{hom}}_{\mathbf{Ch}_{\mathbb{k}}}(T, N))$$

in $\mathbf{Ch}_{\mathbb{Z}}$.

We can now show that the hom-complexes in R preserve homotopy co/kernel sequences separately in each variable.

Exercise 2.21 (8 points). Choose any complexes $M, N, T \in \mathbf{Ch}_R$ and any morphism $M \xrightarrow{f} N$. Using Exercise 2.20 and the universal property of the homotopy co/kernel, construct natural isomorphisms

$$\underline{\mathbf{hom}}_{\mathbf{Ch}_R}\left(T, \mathbf{hker}\left(M \xrightarrow{f} N\right)\right) \cong \mathbf{hker}\left(\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(T, M) \xrightarrow{\underline{\mathbf{hom}}_{\mathbf{Ch}_R}(T, f)} \underline{\mathbf{hom}}_{\mathbf{Ch}_R}(T, N)\right)$$

⁴⁸In the general context of enriched category theory, one says that $T \otimes M$ is the *tensoring* of M by T and that $\underline{\mathbf{hom}}_{\mathbf{Ch}_{\mathbb{k}}}(T, N)$ is the *cotensoring* of N by T .

and

$$\underline{\text{hom}}_{\text{Ch}_R} \left(\text{hcoker} \left(M \xrightarrow{f} N \right), T \right) \cong \text{hker} \left(\underline{\text{hom}}_{\text{Ch}_R}(N, T) \xrightarrow{\underline{\text{hom}}_{\text{Ch}_R}(f, T)} \underline{\text{hom}}_{\text{Ch}_R}(M, T) \right)$$

in $\text{Ch}_{\mathbb{k}}$.

Of course, essentially identical reasoning shows that the hom-complex bifunctor

$$\text{Ch}_{\mathbb{k}}^{\text{op}} \times \text{Ch}_R \xrightarrow{\underline{\text{hom}}_{\text{Ch}_{\mathbb{k}}}(-, -)} \text{Ch}_R$$

preserves homotopy co/kernel sequences separately in each variable.⁴⁹

Exercise 2.22 (4 points). Show that the tensor product bifunctor

$$\text{Ch}_{\mathbb{k}} \times \text{Ch}_R \xrightarrow{(-) \otimes_{\mathbb{k}} (-)} \text{Ch}_R$$

preserves homotopy co/kernel sequences separately in each variable.

⁴⁹Alternatively, this follows from Exercise 2.21, the commutative square

$$\begin{array}{ccc} \text{Ch}_{\mathbb{k}}^{\text{op}} \times \text{Ch}_R & \xrightarrow{\underline{\text{hom}}_{\text{Ch}_{\mathbb{k}}}(-, -)} & \text{Ch}_R \\ \text{id} \times \text{fgt} \downarrow & & \downarrow \text{fgt} \\ \text{Ch}_{\mathbb{k}}^{\text{op}} \times \text{Ch}_{\mathbb{k}} & \xrightarrow{\underline{\text{hom}}_{\text{Ch}_{\mathbb{k}}}(-, -)} & \text{Ch}_{\mathbb{k}} \end{array} ,$$

and the fact that the forgetful functor both preserves and detects homotopy kernel sequences.

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